

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Oh-Hun Kwon et al.

Title:

ZIRCONIA TOUGHENED ALUMINA ESD SAFE CERAMIC

COMPOSITION, COMPONENT, AND METHODS FOR MAKING SAME

Application No.: 10/720,982

Filed:

November 24, 2003

Examiner:

Karl E. Group

Group Art Unit: 1755

Atty. Docket No.: 1035-PM4269-US

MS AMENDMENT **COMMISSIONER FOR PATENTS** PO Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449

Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that

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If the a May 29, 2000	above-identified application is an original application filed on or after:
	each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
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This I	nformation Disclosure Statement is being filed:
	within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
	before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
	during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-3797.

Respectfully submitted,

Jeffrey S. Nel, Reg. No. 36,079 Attorney for Applicant(s)

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PTO/SB/08A (07-05)

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Substitute for form 1449/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 3

Complete if Known					
Application Number	10/720,982				
Filing Date	November 24, 2003				
First Named Inventor	Oh-Hun Kwon et al.				
Art Unit	1755				
Examiner Name	Karl E. Group				
Attorney Docket Number	1075-PM4269-US	· ·			

	U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	A1	US- 3,502,597	03/24/1970	Bush					
	B1	<sup>US-</sup> 5,612,144	03/18/1997	Shinohara et al.					
	C1	US- 5,830,819	11/03/1998	Shikata et al.					
	D1	US- 5,958,813	09/28/1999	Aida et al.					
	El	<sup>US-</sup> 6,669,871 B2	12/30/2003	Kwon et al.					
	F1	US-							
	G1	US-							
	H1	US-							
	I1	US-							
	J1	US-							
	K1	US-							
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T⁰	
	Tl	DE 3743630 C1	03/16/1989	Siemens AG	English Abstract		
	U1	JP 3-005363	01/11/1991	Kyocera Corporation	English Abstract		
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	W1						
	X1					L	
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Substitut	le 101 101111 1443/1 10			Application Number	10/720,982	
INF	ORMATION	DIS	CLOSURE	Filing Date	November 24, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Oh-Hun Kwon	
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	(Use as many she	ets as n	ecessary)	Examiner Name	Karl E. Group	
Sheet	2	of	3	Attorney Docket Number	1075-PM4269-US	

		NON PATENT LITERATURE DOCUMENTS	<u>-</u>			
Examiner Initials*	Examiner   Cite   Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of					
	A2	AJ Wallash, "Electrostatic Discharge (ESD) in Magnetic Recording: Past, Present and Future", Proc. of Understanding ESD in Magenetic Recording, IDEMA, pgs 3-20, 2000.				
	B2	AJ Wallash, et al., "ESD Failure Mechanisms of Inductive and Magnitoresistive Recording Heads, " EOD/ESD Symposium 95, pgs 322-330 (1990).				
	C2	J. Elston, et al., "Study of High-temperature Electrical Properites of Zirconia and Lanthanum Chromite Sinters," Proceedings of a Symposium on Magnetohydrodynamic Electrical Power Generation, pgs 1601, 389-403 (1966).				
	D2 C. Lam, "Characteriazation of ESD Tweezers for Use with Magnetoresistive Recording Heads", EOS/ESD Symposium 1996, pgs. 14-21.					
	E2	K. Kim, et al., "Advancements in Inherently Dissipative Polymer (IDP) Alloys Provide New Levels of Clean, Consistent ESD Protection", EOS/ESD Symposium 2000, pgs. 132-138.				
	F2	R. Wilhelm et al., "Iron Oxide-doped Yttria-Stabilized Zirconia Ceramic: Iron Solubility and Electrical Conductivity", AM. Ceram. Soc. Bull., Vol. 58, No. 2, pgs 228-232, 1979.				
	G2	N. Pratten, "Revew, The Precise Measurement of the Density of Small Samples", J. Material Sci., Vol. 16, pgs 1937-1947 (1981).				
	H2	ASTM: D257-93, "Standard Test Method for DC Resistance or Conductance of Insulating Materials."				
	12	FED. Test Method Std. No. 101, "Electrostatic Properties of Materials," Rev. B, Method 4046, Jan. 1969; Rev. C, Method 4046.1, Oct. 1982, Change Notice 1.				
	J2	N. Joassen, "Static-Electric Characterization of Semi-Insulating Materials", EOS/ESD Symposium EOS-6, 1984.				

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet	3	of	3	Attorney Docket Number	1075-PM4269-US	

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*						
	A3	G. Baumgartner, "Electrostatic Decay Measurement, Theory and Applications", EOS/ESD Symposium 1995, pgs 265-272.				
	В3	M. Islam, et al., "Defect Chemistry of LaBO3 (B=La, Mn or Co) Perovskite-type Oxides, " J. Chem. Soc., Faraday Trans, 92930, pgs. 479-482 (1996).				
·	СЗ	M. DeBarr et al., "Conduction Mechanism in the La(Al, Mn)3 System", Point Defects and Related Ceramics, Ed. T.O. Mason, et al., Ceramic Trans. Vol. 24, Am. Ceram. Soc., pgs. 229-238, 1991.				
	D3					
	E3					
	F3					
	G3					
	Н3					
	13					
	Ј3					

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